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Third Inventor's signature

Post Office Address

Laguna Niguel, California

30 Bethany, Laguna Niguel, CA 92677

Residence:

# JLARATION FOR PATENT APPLICA. ON

1378

					Docket: A-1378
As a below named inventor, I	hereby declare that:			. •	•
My residence post office add	ress and citizenship are as s	tated below next to my na	ime.		
I believe I am the original first of the subject matter which is of which (check one)	and sole inventor (if only on claimed and for which a pat	e name is listed below) o ent is sought on the inven	r an original, first and j ition entitled <u>BREAST</u>	oint inventor (if plural BIOPSY SYSTEM AND N	names are listed below)
[X]	is attached hereto				
ĨĨ	was filed on				
	as US Application Serial Nu and was amended on	imber of PC1 Internations (if applicable).	il Application Number		
I hereby state that I have revi amendment referred to above	ewed and understand the co	ntents of the above identi	fied specification, inclu	uding the claims, as a	mended by any
I acknowledge the duty to dis I hereby claim foreign priority §365(a) of any PCT Internation below any foreign application which priority is claimed.	benefits under 35 U.S.C. §1 and application which design	19(a)-(d) or §365(b) of ar	y foreign application(so	s) for patent or invent States, listed below a	nd have also identified
Prior Foreign Application(s)			Prio	rity Not Claimed	
		_		[]	
(Number)	(Country)	(Day/Month/Ye	ar Filed)		
(Number)	(Country)	(Day/Month/Ye	ar Filed)	-11 	•
I hereby claim the benefit und	er 35 U.S.C. §119(e) of any	United States provisional	application(s) listed be	elow.	
60/076,973	3-3-98				
(Application Number)	(Filing Date)				
(Application Number)	(Filing Date)	<del>-</del> ,			
International filing date of this	application.				
(Application I	lumber)	(Filing Date)	(Status -patente	ed, pending, abandoned)	<del></del>
I hereby appoint the following connected therewith:	attorney(s) and/or agent(s) to Donald E. Stout, Reg. No.: Kenton R. Mullins, Reg. No.	34,493; Frank J. Uxa, Re	eg. No. 25,612;		and Trademark Office
Address all telephone calls to		at telephone number (714		<u>06</u>	
Address all correspondence to		044			
	Peterson, Uxa & 100 Pacifica, Sui				
	Irvine, CA 92618				
I hereby declare that all staten be true; and further that these imprisonment, or both, under s application or any patent issue	statements were made with Section 1001 of Title 18 of the	the knowledge that willful	false statements and	the like so made are	punishable by fine or
Full name of sole or first inven	tor (given name, family name	e) <u>Fred H. Burbank</u>			
Inventor's signature	Ired H. Bin	lank	 Date	4/3/98	
Residence	San Juan Capistrano, Ca		Citiz	enship <u>U.S.A.</u>	
Post Office Address	30982 Steeplechase Drive	e, San Juan Capistrano, C	<u>:A 92675</u>		
Full name of second joint inve	ntor (given name, family nam	pe) Michael L. Jones		111-1	
Second Inventor's signature	Michell L. K.	<u></u>	Date		
Residence: Post Office Address:	Capistrano Beach, Califor 34441 Camino El Molino.			enship <u>U.S.A.</u>	
ost Office Address;	3444 F CARRITO ET MOTINO,	Capistiano deach, CA 92	:2024		
Full name of third joint invento	(given name, family name)	Paul Lubock			
Third Inventor's signature	fant Julion	<u> </u>	Date	4/3/98	

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Citizenship <u>U.S.A.</u>

#### WHEN RECORDED, RETURN TO:

Donald E. Stout 100 Pacifica, Suite 210 Irvine, CA 92618

#### **ASSIGNMENT**

WHEREAS, we, FRED H. BURBANK, MICHAEL L. JONES, and PAUL LUBOCK, all of the County of Orange, State of California, have invented certain new and useful improvements in for a

#### BREAST BIOPSY SYSTEM AND METHOD

for which the said FRED H. BURBANK has this 3rd day of April, 1998 executed application papers for United States Letters Patent thereon,

for which the said MICHAEL L. JONES has this 3 day of April, 1998 executed application papers for United States Letters Patent thereon,

for which the said PAUL LUBOCK has this 3 day of April, 1998, executed application papers for United States Letters Patent thereon; and

WHEREAS, BiopSolation Medical, a Corporation of the State of Delaware, hereinafter called Assignee, having its place of business at 30982 Steeplechase, San Juan Capistrano, California 92675 is desirous of acquiring the entire right, title and interest in and to the aforesaid invention and in and to any and all Letters Patent therefor granted in the United States of America, and in any and all countries foreign thereto;

NOW, THEREFORE, TO WHOM IT MAY CONCERN, be it known that, for and in consideration of the sum of ONE DOLLAR (\$1.00) to us in hand paid by Assignee, and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, we, FRED H. BURBANK, MICHAEL L. JONES, and PAUL LUBOCK by these presents sell, assign and transfer unto Assignee, its successors and assigns, the full and exclusive right, title and interest for the territory of the United States of America and all countries foreign thereto (including the right to apply for Letters Patent in foreign countries in its own name and to claim any priority rights for such foreign applications to which such applications are entitled under international

conventions, treaties, or otherwise), in and to the said invention as described in said application, and in and to all Letters Patent granted therefor, and all divisions, reissues and continuations thereof, and we hereby authorize and request the Commissioner of Patents and Trademarks to issue all Letters Patent on said inventions or resulting therefrom to said Assignee, as assignee of the entire right, title and interest, and we covenant that we have full right so to do and agree that we will communicate to said Assignee, or its successors any and all facts known to us regarding said invention whenever requested, sign all lawful papers, and generally do everything possible to aid said Assignee, its successors and assigns, to obtain and enforce proper patent protection for said invention in all countries.

Executed this <u>3</u> day of	apri	, 1998
Fred H. Britant	ک	
FRED H. BURBANK		
STATE OF CALIFORNIA	/	
COUNTY OF ORANGE	/	

On April 3, 1998, before me Annette Brooks personally appeared FRED H. BURBANK personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s) or the entity upon behalf of which the person(s) acted, executed the instrument.

Witness my hand and official seal.

Signature Smith Grobs

ANNETTE BROOKS
Commission # 1094795
Notary Public — California
Orange County
My Comm. Expires Apr 18, 2000

SEAL

Executed this _3_day of _ April	<u>. /</u>	, 1998.	
Mediael L Ju	•		
MICHAEL L. JONES			
·		•	
STATE OF CALIFORNIA	/ ·		
CONDIMITOR OR LIVER	/		
COUNTY OF ORANGE	/		

On April 3, 1998, before me Annette Brooks personally appeared MICHAEL L. JONES personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s) or the entity upon behalf of which the person(s) acted, executed the instrument.

Witness my hand and official seal.

Signature

ANNETTE BROOKS
Commission # 1094795
Notary Public — California
Orange County
My Comm. Expires Apr 18, 2000

**SEAL** 

Executed this 3 day of	1998
Tank July	
PAUL LUBOCK	
STATE OF CALIFORNIA	
COUNTY OF ORANGE	,/ /

On April 3, 1998, before me Annette Brooks personally appeared <u>PAUL LUBOCK</u> personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s) or the entity upon behalf of which the person(s) acted, executed the instrument.

Witness my hand and official seal.

Signature

ANNETTE BROOKS
Commission # 1094795
Notary Public — Conformia
Orange County
My Comm. Expires Apr 18, 2000

**SEAL** 

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of Burbank et al.	) Examiner: M. Hindenburg ) Group Art Unit: 3736
For: BREAST BIOPSY SYSTEM AND METHODS	) ) )
Serial No.: 09/057,303	)
Filed: April 8, 1998	
Docket No.: 26684-1000	)

## POWER OF ATTORNEY BY ASSIGNEE AND REVOCATION OF PRIOR POWERS

The Assistant Commissioner for Patents Washington, DC 20231

#### Dear Sir:

As Assignee of the entire interest of the above-identified patent application, all powers of attorney previously given are hereby revoked and the following attorneys are hereby appointed to prosecute and transact all business in the Patent and Trademark Office in connection with the above-identified application.

EDWARD J. LYNCH, Registration No. 24,422
JACQUES DULIN, Registration No. 24,067
HERWIG von MORZE, Registration No. 29,484
DEREK P. FREYBERG, Registration No. 29,250
Y. PING CHOW, Registration No. 30,740
WILLIAM SCHMONSEES, Registration No. 31,796
WALTER KURZ, Registration No. 37,373
ROBERT F. DENNIS, Registration No. 40,988
SOODABEH TRONSON, Registration No. 41,354
WILLIAM B. ANDERSON, Registration No. 41,585
PRISCILLA H. MARK, Registration No. 41,970
VICTORIA L. BOYD, Registration No. 43,510
ALICIA HAGER, Registration No. 44,140

of the firm

NO. 3869 P. 3

HELLER, EHRMAN, WHITE & MCAULIFFE 525 University Avenue Palo Alto, CA 94301-1900 (650) 324-7000

and

GEORGE M. COOPER, Registration No. 20,201 ERIC S. SPECTOR, Registration No. 22,495 FELIX J. D'AMBROSIO, Registration No. 25,721 DOUGLAS R. HANSCOM, Registration No. 26,600 JIM W. HELLWEGE, Registration No. 28,808 WILLIAM A. BLAKE, Registration No. 30,548 COLIN D. BARNITZ, Registration No. 35,061

of the firm:

JONES, TULLAR & COOPER, P.C. 2001 Jefferson Davis Highway Box 2266, EADS Station Arlington, VA 22202 (703) 415-1500

Address all correspondence and direct all telephone calls to:

Edward J. Lynch
HELLER, EHRMAN, WHITE & MCAULIFFE
525 University Avenue
Palo Alto, CA 94301-1900
Direct Dial: (650) 324-7098

The assignment for the above application was filed with the patent application on April 8, 1998, and a copy of which is enclosed.

Name: Paul Lobock

Title: Chief Operating Officer

Date: 12/21/99

HELLER, EHRMAN, WHITE & MGAULIFFE 525 University Avenue Palo Alto, CA 94301-1900 Telephone: (650) 324-7000

Facsimile: (650) 324-0638

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### AMENDED AND RESTATED CERTIFICATE OF INCORPORATION

BiopSolation Medical, Inc., a corporation organized and existing under the laws of the State of Delaware, hereby certifies as follows:

- A. The name of this corporation on the original Certificate of Incorporation is

  BiopSolation Medical, Inc. The original Certificate of Incorporation was filed with the Secretary of
  the State of Delaware on January 21, 1998.
- B. Pursuant to Sections 228, 242, and 245 of the General Corporation Law of the State of Delaware, this Amended and Restated Certificate of Incorporation restates and integrates and further amends the provisions of the Certificate of Incorporation of this corporation.
- C. The text of the Certificate of Incorporation as heretofore amended or supplemented is hereby amended and restated as follows:
  - ONE The name of this corporation is SenoRx, Inc.
- TWO The address of the corporation's registered office in the State of Delaware is Corporation Trust Center, 1209 Orange Street, in the City of Wilmington, County of New Castle,

Zip Code 19801. The name of its registered agent at such address is The Corporation Trust Company.

THREE The purpose of the corporation is to engage in any lawful act or activity for which a corporation may be organized under the General Corporation Law of Delaware.

FOUR This corporation is authorized to issue two classes of stock to be designated, respectively, "Common Stock" and "Preferred Stock." The total number of shares which the corporation is authorized to issue is 22,975,000 shares, 20,000,000 shares of which shall be Common Stock (the "Common Stock") with a par value of \$.001 and 2,975,000 shares of which shall be Preferred Stock (the "Preferred Stock") with a par value of \$.001.

FIVE The rights, preferences, privileges, restrictions and other matters relating to the 2,975,000 shares of Preferred Stock are as follows:

- 1. <u>Designation</u>. 2,975,000 shares of Preferred Stock are hereby designated Series A Preferred Stock ("Series A Preferred").
- 2. Dividends. The holders of the Series A Preferred shall be entitled, when and if declared by the Board of Directors of the corporation, to dividends out of the corporation's assets legally available therefor at the rate of \$0.08 per share per annum. Dividends on the Preferred Stock shall be payable in preference and prior to any payment of any dividend on the Common Stock of the corporation. Thereafter, the holders of Preferred Stock and Common Stock shall be entitled, when and if declared by the Board of Directors, to dividends out of the corporation's assets legally available therefor; provided, however, that no such dividends may be declared or paid on any shares of Common Stock or Preferred Stock unless at the same time an equivalent dividend is declared and paid on all outstanding shares of Common Stock and Preferred Stock; and provided further that the dividend on any series of any Preferred Stock shall be payable at the same rate per share as would be payable on the shares of Common Stock or other securities into which such series of Preferred Stock is convertible immediately prior to the record date for such dividend. The right to such dividends on shares of the Common Stock or Preferred Stock shall not be cumulative, and no right shall accrue to holders of Common Stock or Preferred Stock by reason of the fact that dividends on said shares are not declared in any prior period.

#### 3. Liquidation Preference.

(a) Preference. In the event of any liquidation, dissolution or winding up of the corporation, either voluntarily or involuntarily, the holders of Preferred Stock shall be ontitled to receive, prior and in preference to any distribution of any of the assets or surplus funds of the corporation to the holders of Common Stock of the corporation, an amount equal to \$1.00 per share for each share of Series A Preferred then so held plus a further amount equal to any dividends declared but unpaid on such shares.

at the request of the corporation or any predecessor to the corporation. Neither any amendment nor repeal of this Article, nor the adoption of any provision of this Amended and Restated Certificate of Incorporation inconsistent with this Article, shall eliminate or reduce the effect of this Article in respect of any matter occurring, or any cause of action, suit or claim that, but for this Article, would accrue or arise, prior to such amendment, repeal or adoption of an inconsistent provision.

ELEVEN The corporation reserves the right to amend, alter, change or repeal any provision contained in this Amended and Restated Certificate of Incorporation, in the manner now or hereafter prescribed by statute, and all rights conferred upon stockholders herein are granted subject to this reservation.

IN WITNESS WHEREOF, the corporation has caused this Amended and Restated Certificate to be signed by its President, and attested by its Secretary, this 21 at day of April 1998.

Fred H. Butante

Fred H. Burbank, President and Chief Executive

Officer

J. Casey McGlynn, Secretary